



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
(Case No. 98,385-J)

CAU 1632  
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OCT 10 2001  
PATENT  
TECH CENTER 1600/2900

In re Application of: Hauptmann et al. )  
Serial No.: 09/899,429 )  
Filed: July 3, 2001 )  
For: Tumor Receptors, TNF Binding )  
Proteins and DNAs Coding for Them )

Before the Examiner: Unassigned

Group Art Unit: 1632

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Commissioner for Patents  
Washington, D.C. 20231

Sir/Madam:

TRANSMITTAL LETTER

1. We are transmitting herewith the attached papers for the above-described patent application:  
Preliminary Amendment and return postcard.
2. GENERAL AUTHORIZATION TO CHARGE OR CREDIT FEES: Please charge any additional fees to Deposit Account No. 13-2490.
3. CERTIFICATE OF MAILING BY "EXPRESS MAIL" UNDER 37 C.F.R. 1.10: The undersigned hereby certifies that this Transmittal Letter and the papers, as described in paragraph 1 hereinabove, are being deposited with the United States Postal Service with sufficient postage as "Express Mail Post Office to Addressee" in an envelope addressed to: Commissioner for Patents, Box Provisional Patent Application, Washington D.C. 20231, on October 3, 2001.

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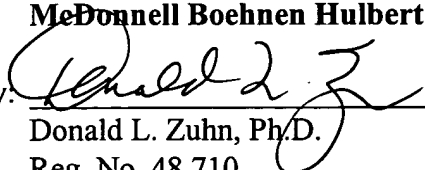
Dated: October 3, 2001

OCT 09 2001

TC 1700

Respectfully submitted,  
McDonnell Boehnen Hulbert & Berghoff

By:

  
Donald L. Zuhn, Ph.D.  
Reg. No. 48,710



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
(Case No. 98,385-J)

# 7/A  
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OCT 1 2001  
TECH CENTER 600/2905

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Sir/Madam:

PRELIMINARY AMENDMENT

Applicants respectfully request that the Examiner enter the following amendment.

**In the Specification:**

Please insert the following paragraph at page 1, line 9:

sub  
A  
This application is a continuation of U.S. Application No. 09/792,356, filed February 23, 2001, which is a continuation of U.S. Application No. 08/477,639, filed June 7, 1995, now U.S. Patent No. 6,221,675, which is a division of U.S. Application No. 08/383,676, filed February 1, 1995, now U.S. Patent No. 6,294,352, which is a continuation of U.S. Application No. 08/153,287, filed November 17, 1993, now abandoned, which is a continuation of U.S. Application No. 07/821,750, filed January 1, 1992, now abandoned, which is a division of U.S. Application No. 07/511,430, filed April 20, 1990, now abandoned, the contents of each of which are incorporated herein by reference. This application also claims priority to foreign patent applications P39 13 101.7, filed April 21, 1989, in Germany; P39 20 282.8, filed June 21, 1989, in Germany; and 90106624.1, filed April 20, 1990 in Europe (EPO).